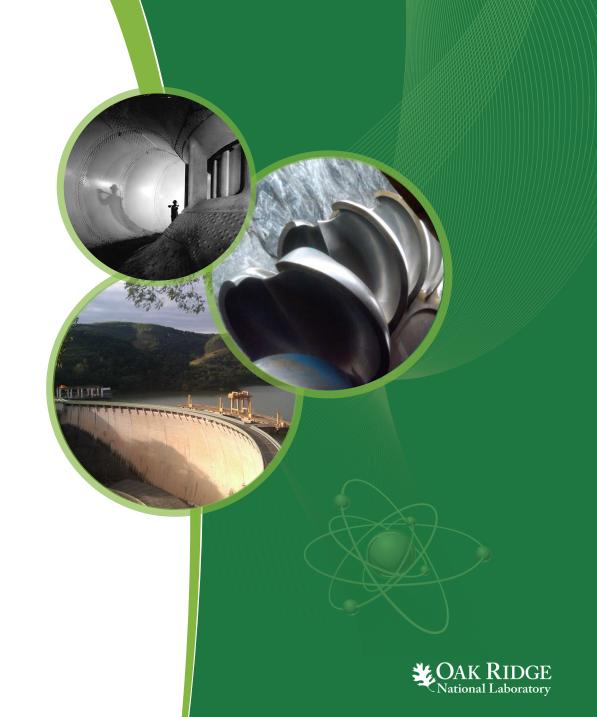
Standard Modular Hydropower Resources Webinar

https://hydropower.ornl.gov/smh



Thursday September 6th, 2018

ORNL is managed by UT-Battelle for the US Department of Energy

Message from DOE WPTO

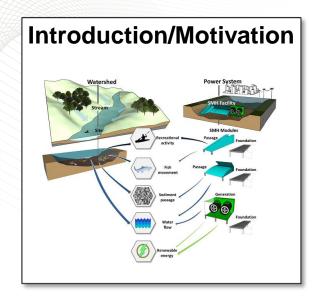
Welcome

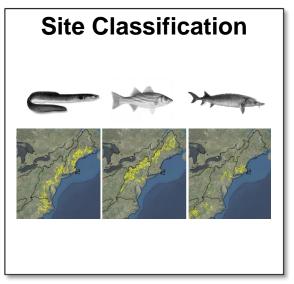
Ground rules for the call

Note: ORNL is not authorized to answer any questions regarding Funding Opportunity Announcement DE-FOA-0001836: INNOVATIVE DESIGN CONCEPTS FOR STANDARD MODULAR HYDROPOWER AND PUMPED-STORAGE HYDROPOWER on this call. For specific questions on this topic, please email wptoropouncement DE-FOA-0001836: INNOVATIVE DESIGN CONCEPTS FOR STANDARD MODULAR HYDROPOWER AND PUMPED-STORAGE HYDROPOWER on this call. For specific questions on this topic, please email wptoropouncement DE-FOA-0001836: INNOVATIVE DESIGN CONCEPTS FOR STANDARD MODULAR HYDROPOWER AND PUMPED-STORAGE HYDROPOWER on this call. For specific questions on this topic, please email wptoropouncement DE-FOA-0001836: INNOVATIVE DESIGN CONCEPTS FOR STANDARD MODULAR HYDROPOWER AND PUMPED-STORAGE HYDROPOWER on this call. For specific questions on this topic, please email wptoropouncement DE-FOA-0001836: wptoropouncement DE-FOA-0001836: wptor

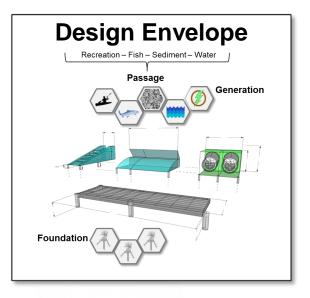


Webinar Agenda









5 min 10 min 15 min Q&A

15 min



We are at a crossroads with respect to development of new low-head small hydropower facilities:

Benefits of small hydro

Renewable and carbon free energy

Dependable, reliable generating capacity

Local and national economic investment

Long asset life

Avoided greenhouse gas emissions

Avoided water withdrawals for electricity

Recreation opportunities

Environmental impacts and ecosystem complexity

Site-specific design, site-specific impacts, long and uncertain regulatory process



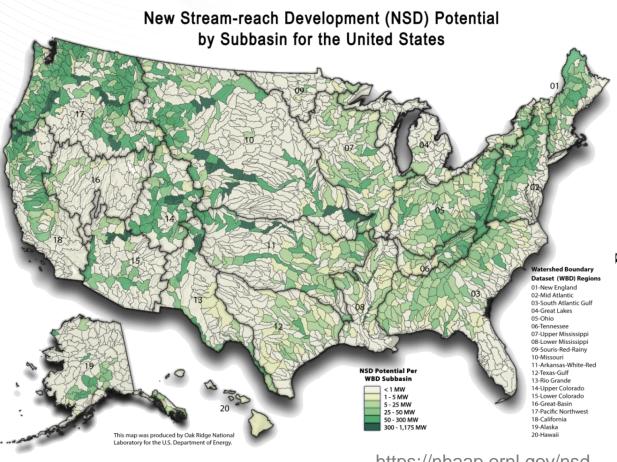
Difficult project economics and renewable energy competition

High capital costs and competition from rapid deployment of new low-cost wind and solar capacity

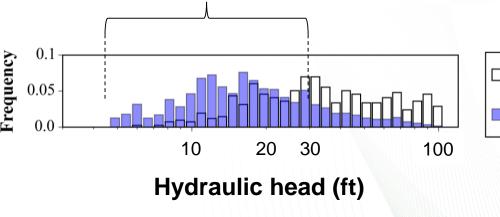


Theoretical resource potential for new small hydropower

29 GW of technical cumulative NSD potential at 10,000 sites with less than 10 MW of installed capacity each



Majority of NSD sites are **low-head** (< 30ft) compared to existing fleet



https://nhaap.ornl.gov/nsd

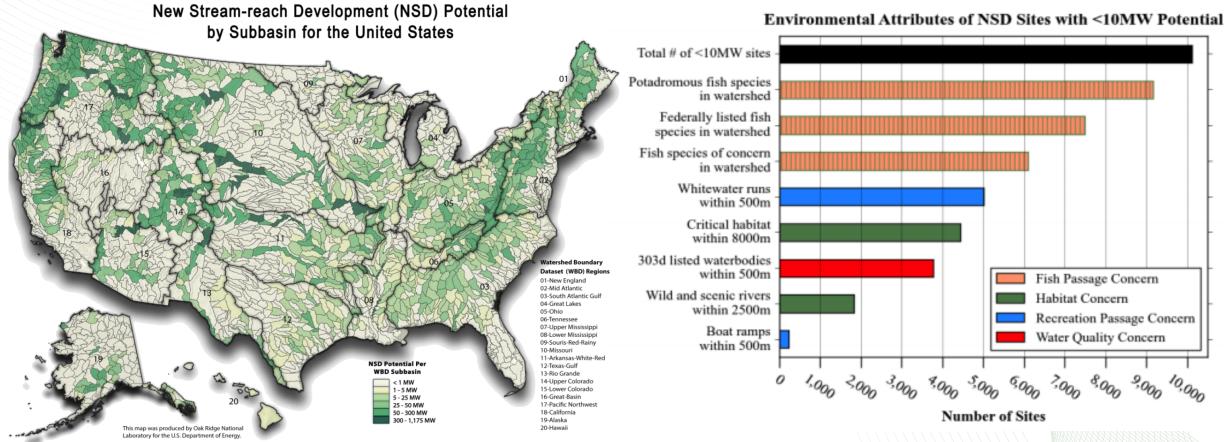


Existing Fleet

NSD Potential

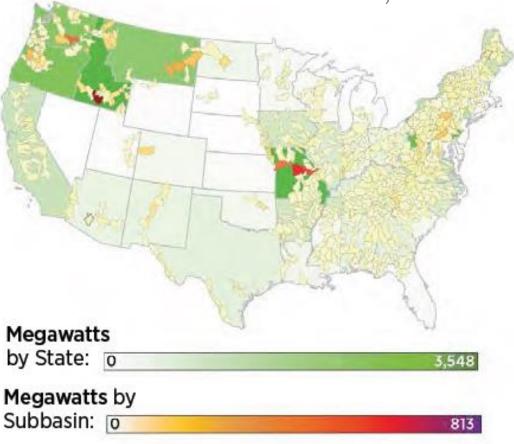
Theoretical resource potential for new small hydropower

29 GW of technical cumulative NSD potential at 10,000 sites with less than 10 MW of installed capacity each



Hydropower Vision Report NSD modeling scenario

With advanced technology and solutions to environmental considerations, 17.2 GW of new hydropower could be competitively deployed by 2050 (15.5 GW greater than business as usual scenario)







Seeking to stimulate innovative designs that incorporate **standardization**, **modularity**, and **environmental compatibility** as enabling design principles of small, low-head hydropower facilities

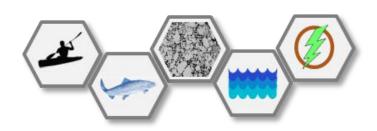
Standardization:

Standard siting methods, designs and technologies, project review, regulatory pathways, construction sequencing, and O&M to reduce site specificity and project costs.



Modularity:

The physical organization of a hydropower facility into generation, passage, and foundation modules assembled to deliver energy and environmental benefits at many different sites.



Environmental Compatibility:

Facilities sited and operated as coupled human-natural systems to minimize disturbances to landscape features, water quantity, connectivity, geomorphology, water quality, and biota.



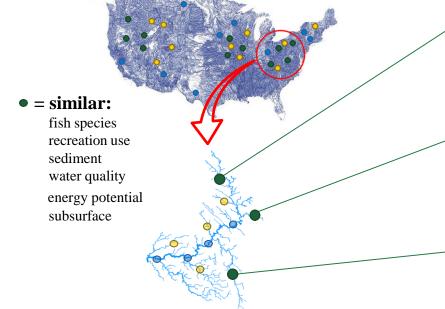


Standard Modular Hydropower Concept

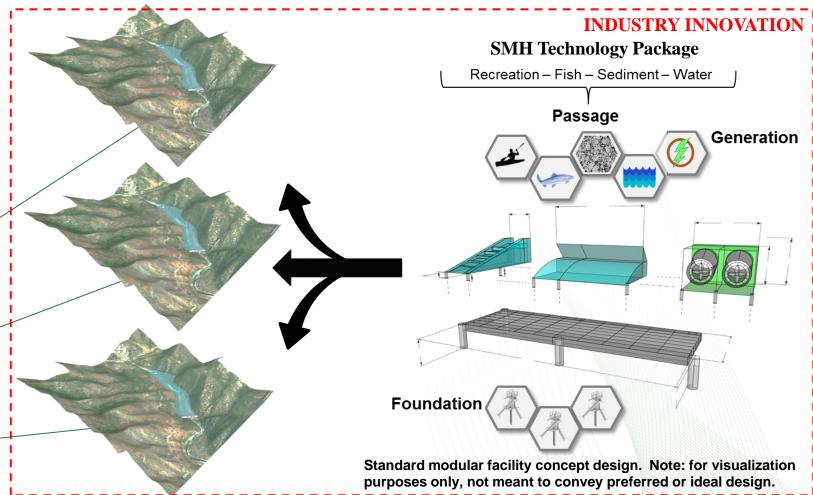
Data aggregation and site classification



Clusters of similar sites

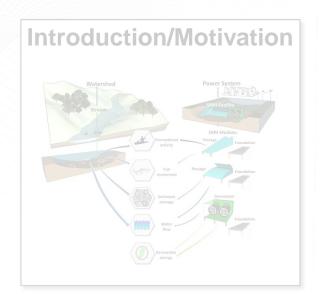


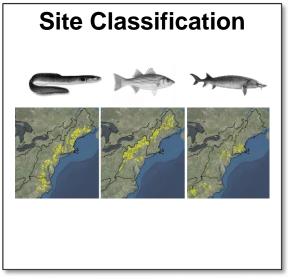
Sites in a cluster have common design requirements, may be developed with a suite of standard modular generation, passage, and foundation technologies



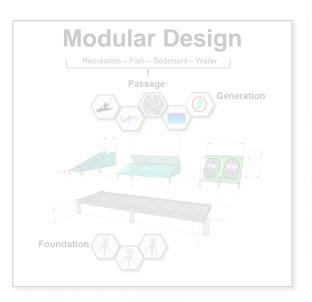
National Laboratory

Webinar Agenda









15 min



Site Classification

Objective: To group similar stream reaches into a finite number of clusters based on characteristics/variables that can be used to inform both need and design requirements for a module type.

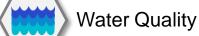
Classification for each module type





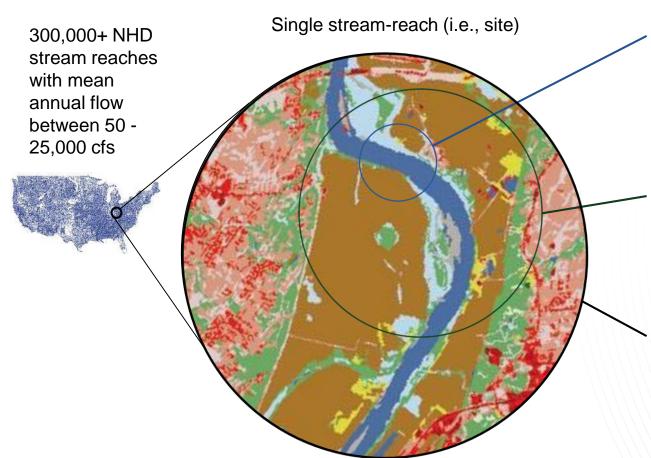








Classification Units



Clustering Variables

In-stream

- Physical
 - Hydrology
 - Gradient
 - Geomorphology
- Biological
 - Species present

Landscape (local or regional)

- Land use
- Soil type
- Impervious surfaces
- Existing dams and mitigation

Geo-political

Population density



Data sources for Site Classification (and SMH Explorer)

Dataset	URL
ORNL SMH	https://hydropower.ornl.gov/smh/
ORNL NSD	https://nhaap.ornl.gov/nsd
ORNL NPD	https://nhaap.ornl.gov/content/non-powered-dam-potential
ORNL Environmental Mitigation	https://nhaap.ornl.gov/environmental-mitigation
ORNL LandCast	http://www.pnas.org/content/112/5/1344
USGS NHDPlusV2	http://www.horizon-systems.com/nhdplus/NHDPlusV2_home.php
USGS WBD	https://nhd.usgs.gov/wbd.html
USGS WRD NSDI	https://water.usgs.gov/lookup/getgislist
USGS seismic hazard maps	https://earthquake.usgs.gov/hazards/hazmaps/
USGS geologic maps	https://mrdata.usgs.gov/geology/state/
StreamCat	https://www.epa.gov/national-aquatic-resource-surveys/streamcat
USEPA WQ data	https://www.epa.gov/waterdata/waters-geospatial-data-downloads
EIA	https://www.eia.gov/maps/layer_info-m.php
NLCD 2011	https://www.mrlc.gov/nlcd2011.php
MSU Dam metrics DB	https://www.sciencedirect.com/science/article/pii/S004896971730308X?via%3Dihub
Yale Climate Opinion Maps	http://climatecommunication.yale.edu/visualizations-data/ycom-us-2016/
NatureServe	http://www.natureserve.org/conservation-tools/data-maps-tools/
UTK Hydraulics and Sedimentation Lab	http://hsl.engr.utk.edu/
Delorme/ORNL	https://developer.garmin.com/datasets/overview
American Whitewater/ORNL	https://www.americanwhitewater.org/
National Rivers Inventory	https://www.nps.gov/subjects/rivers/data.htm















U.S. Energy Information Administration









Example: Fish Passage Classification in Northeastern US

Fish passage need can be grouped according to stream gradient, migratory species presence, local presence of other barriers, stream network connectivity, etc.

Clustering Variables

Mean annual flow (cfs)

Upstream network dam density per unit stream network length (#/100 km)

Downstream mainstem dam density per unit downstream mainstem length (#/100 km)

Percent of mitigation sites in the mitigation database within the HUC2 that had Tier 1 fish passage mitigation required

Number of **ocean-run sturgeon** species within the reach's HUC8 (count)

Number of **inland sturgeon/paddlefish** species within the reach's HUC8 (count)

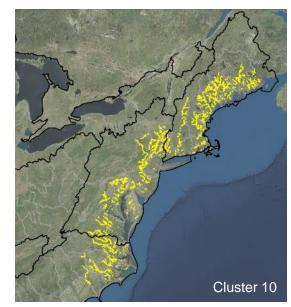
Number of **ocean-run clupeid** species within the reach's HUC8 (count)

Number of **ocean-run eel/lamprey** species within the reach's HUC8 (count)

Number of **ocean-run salmonid** species within the reach's HUC8 (count)

Number of **inland salmonid** species within the reach's HUC8 (count)

Number of other **inland migratory species** within the reach's HUC8

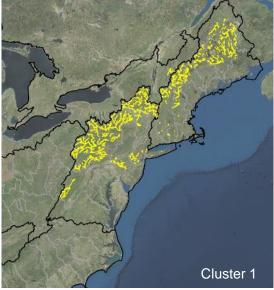


Common migratory species:

 Ocean-run anadromous species (clupeids, eels, sturgeons)

Common physical features:

- High flow
- · Prevalent upstream dams

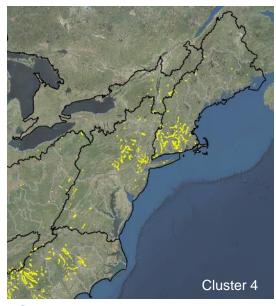


Common migratory species:

Inland migratory trout

Common physical features:

- Moderate flow
- High passage mitigation at existing dams (i.e., mostly intake racks)



Common migratory species:

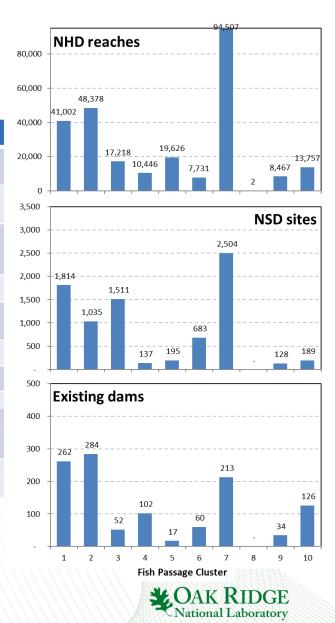
 Mixture of both inland and ocean-run migratory species (eels, clupeids, salmonids, sturgeon)

Common physical features:

- Low flow
- Prevalent upstream and downstream dams

Fish Passage

	#	# Reaches	Defining characteristics	Locale
	1	41,002	Potamodromous salmonids, high downstream dam count, high existing passage mitigation	Appalachia, Texas, Northwest
	2	48,378	High other potamodromous species, low anadromous species	Great Lakes, upper Midwest, upper Ohio River, Gulf Coast
(all	3	17,218	Anadromous salmonids, potamodromous salmonids, low upstream and downstream dam count, high existing passage mitigation, anadromous lampreys	Pacific Northwest
	4	10,446	Some anadromous clupeids, high upstream and downstream dam count, low MAF	South central, New England
	5	19,626	Low existing passage mitigation, low or absent salmonid presence, eels, low downstream dam count	Lower Mississippi River drainage
	6	7,731	High MAF, inland sturgeon, and other inland species	Scattered nationally
-	7	94,507	Very low numbers of all major migratory species, low existing passage mitigation	Scattered nationally
	8	2	-	_
	9	8,467	Inland sturgeons and other inland potamodromous species, low downstream dam count, low existing passage mitigation, low anadromous species	Upper Mississippi River drainage
	10	13,757	Anadromous clupeids, ocean-run sturgeons, eels, high upstream and downstream dam count	Atlantic Coast

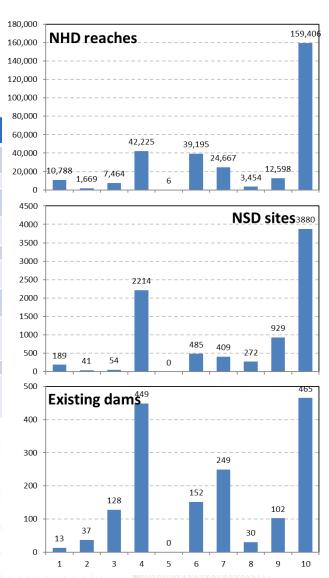




Recreation clusters Recreation clusters

Recreation

	#	# Reaches	Defining characteristics	Locale	
	1	10,788	Marine species fishing, small streams, limited boat access	Atlantic, Pacific, and Gulf coasts	
	2	1,669	Urban streams,	National	
	3	7,464	Suburban, small streams, coldwater fishing	National	
	4	42,225	High whitewater use, coldwater fishing	Appalachian, Sierras, and Rocky mtns	
	5	6		-	
	6	39,195	High recreational preservation value, low gradient	Mid-central, southeast, far northwest	
	7	24,667	Low gradient, high boat access, some whitewater	Maine, Wisc., Minn., and Ark.	
	8	3,454	Marine species fishing, high recreational preservation value, high whitewater use, high boat access, coldwater fishing	Puget Sound	
\mathcal{L}	9	12,598	Large rivers, low gradient	National	
	10	159,406	Rural, limited boat access, low gradient	Ohio R. and Mississippi R. valleys, eastern Great Lakes, Great Plains	

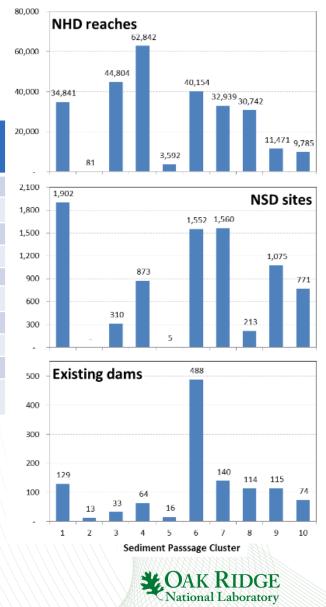


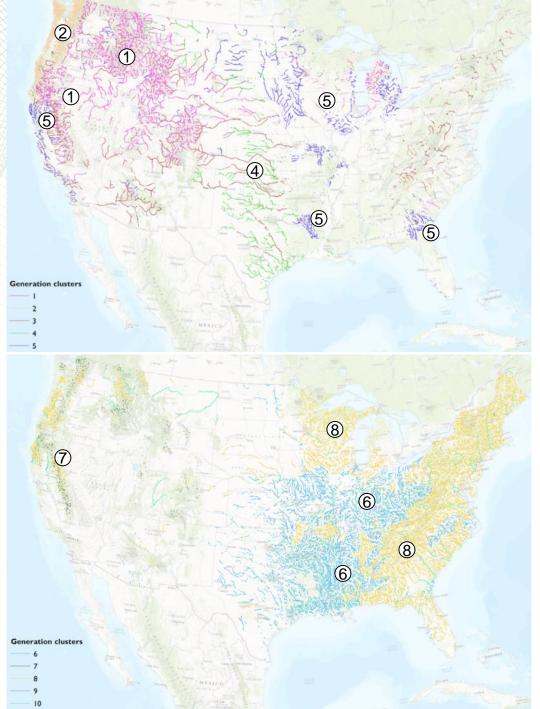


4 Sediment clusters

Sediment

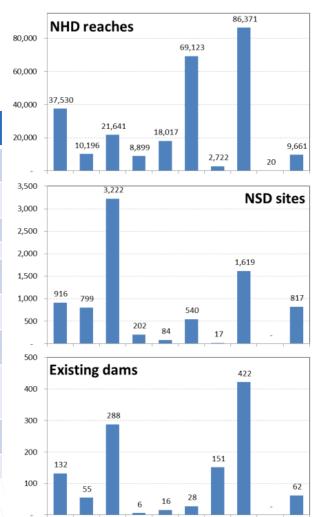
	#	# Reaches	Defining characteristics	Locale
	1	34,841	Low runoff, variable flow	Mountain west and plains
	2	81	Mod & steady flow, low ag, high runoff, high velocity	
	3	44,804	Low velocity, clay	Upper midwest
	4	62,824	Agricultural, slow, high erodibility, clay	Midsouth
	5	3,592	Small streams, slow, urban	National
	6	40,154	Forested, low ag	Northeast, northwest, Appalachians
19	7	32,939	Rocky streams, forested	National
	8	30,742	Sandy, low erosion, slow	Southeast, Great Lakes
	9	11,471	Large rivers, high velocity	National
	10	9,785	Forested, low ag, high runoff, steady flow	Pacific northwest





Generation

#	# Reaches	Defining characteristics	Locale	
1	37,500	low Q, high baseflow, high seasonal var. (snow melt)	Rockies, Sierras	
2	10,000	med Q, steep grade, high baseflow, low seasonal var.	Cascades	
3	21,500	med Q, high velocity,	National	
4	9,000	med Q, low grade, low baseflow,	Great plains, Texas	
5	5 18,000 low Q, low grade, low baseflow, low velocity, high seasonal var. 6 69,000 low Q, low grade, low baseflow, low velocity.		National, valleys and plains	
6			Mississippi Valley, Midwest and mid-south	
7	2,700	low Q, steep grade, high baseflow,	Rockies, Sierras, Cascades	
8 86,500 low Q, low		low Q, low velocity,	Great Lakes, Appalachians, Atlantic coast, west coast foothills	
9	9 med Q, very steep grade(?), high velocity,			
10	9,500	high Q, low grade,	National	

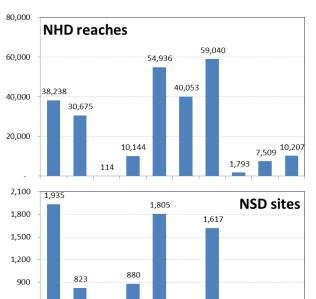


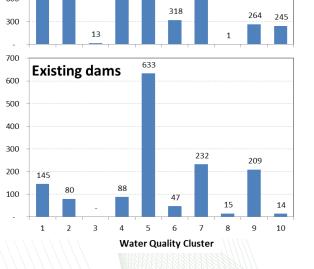


Generation Cluster

Water Quality

	#	# Reaches	Defining characteristics	Locale
	1 38,000 Unforested, low agric., low erodibility P		Unforested, low agric., low erodibility	Plains
	2	31,000	Agricultural, high erodibility	Mississippi and Ohio R valleys
	3 100 Agricultural, N runoff		Agricultural, N runoff	-
	4	10,000	Large rivers,	National
	5	55,000	Forested, low erodibility	National
	6	40,000	Agricultural, high erodibility, N runoff	Midwest, Ohio and Miss R
	7	59,000 Forested, low ag, moderate erodibility		National
	8	1,800	Small streams, urban, impervious surfaces	National
9		7,500	Suburban, impervious	
	10	10,000	Agricultural, unforested, N runoff	Great plains



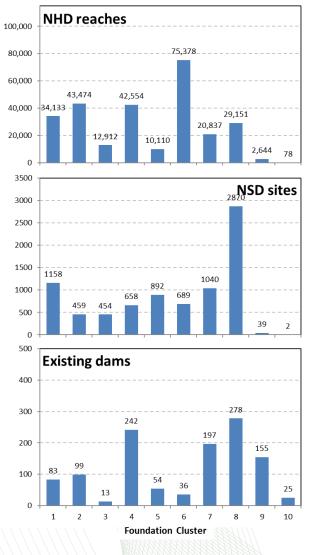






Foundation

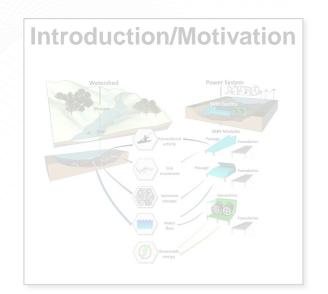
	#	# Reaches	Defining characteristics	Locale	
	1	34,133	Low flow, shallow bedrock,	Low mtn streams: foothills Appalachian, Ozark, Sierras	
	2	43,474	Low power, low flow, low gradient	Lowlands: Glaciated Great Lakes, Upper Mississippi	
	3	12,912	Highest earthquake hazard, high erodibility	Pacific coast, New Madrid fault, S. Carolina coast	
	4	42,554	Low power, low erodibility, low flow, low gradient	Lowlands: Midwest, Ntheast, and Stheast	
	5	10,110	High flow, high power, high velocity	Large rivers: National	
	6	75,378	Low power, high erodibility, low flow, low gradient	Lowlands: Stheast and Gulf coasts, Central Valley Ca	
	7	20,837	Moderately high power, high velocity, low erodibility, shallow bedrock	Foothill streams: Pac NW, Rockies, Appalach., Maine	
	8	29,151	High erodibility, high flow, moderately high velocity	National	
	9	2,644	Low erodibility, very high power, shallow bedrock, high gradient, moderately high velocity	-	
	10	78	Low erodibility, high flow, very high gradient, very high velocity	-	

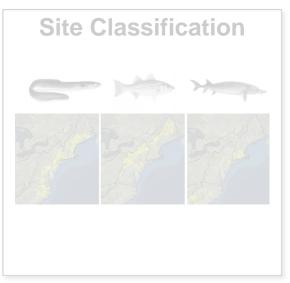


*OAK RIDGE National Laboratory

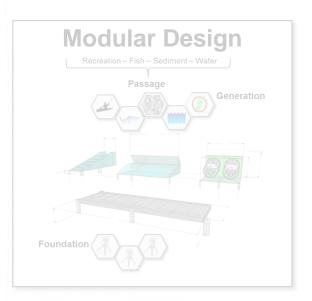
19 Standard Modular Hydropower Resources Webinar

Webinar Agenda









5 min 10 min 15 min Q&A

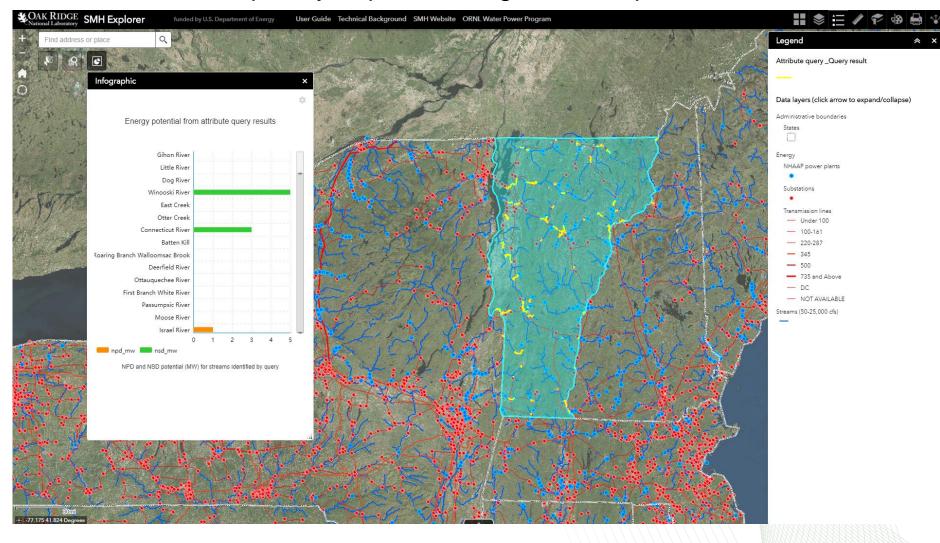
15 min



About SMH Explorer

- A geovisual analytics
 platform that empowers
 user-guided energy water-environment module data analysis
 and inquiries in support
 of the SMH project.
- The tool can be used to establish scoping-level insights into the type of foundation, generation, water quality, fish passage, recreation, and sediment modules that may be required if hydropower development is pursued on a stream-reach.

https://hydropower.ornl.gov/smh/explorer/



National Laboratory

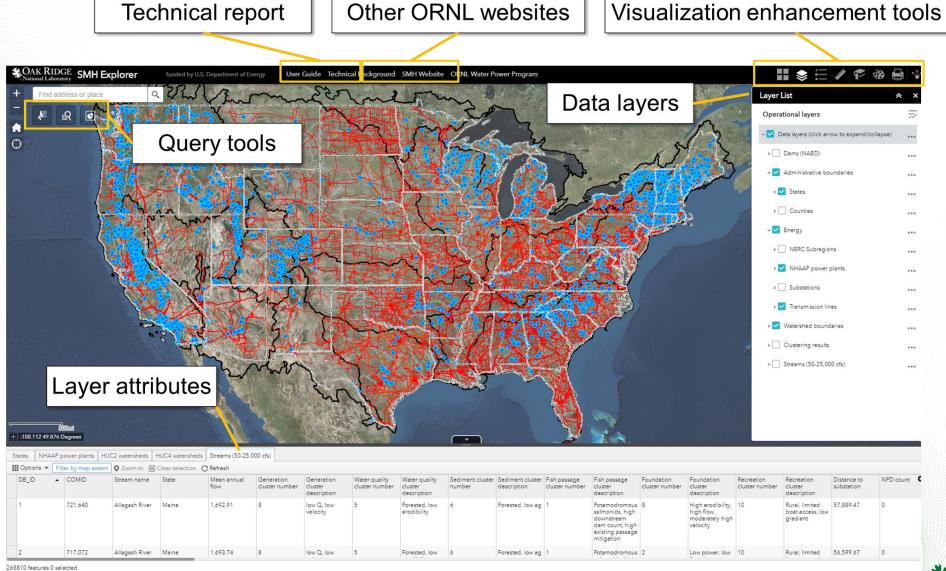
Functionality

The basic functionality of SMH Explorer falls into two categories:

- Data layers and user queries.
 - Data layers provide geospatial information about different energy and landscape characteristics.
 - There are currently 18 data layers in SMH Explorer (including clustering results)
 - The user queries function allows users to input specific search criteria, visualize results, and download data summaries.
 - There are currently >80 attributes that users can query in SMH Explorer (including clustering results)



User interface

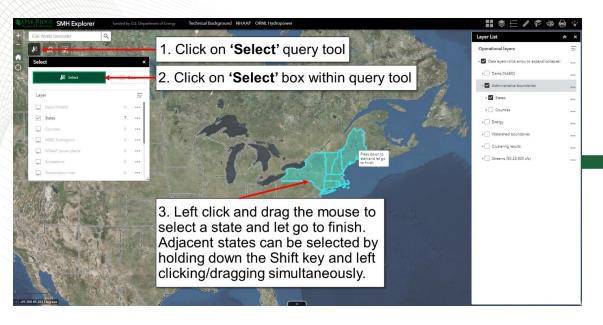


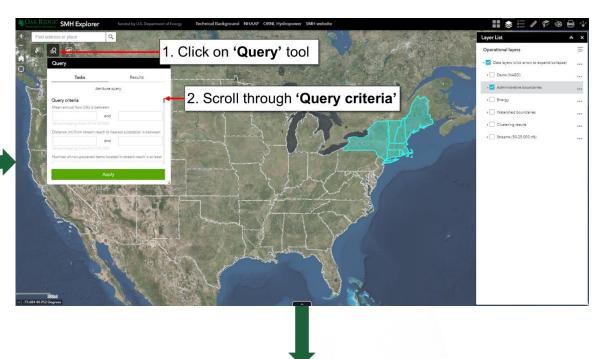
Use Case 1: Module developer determining application space

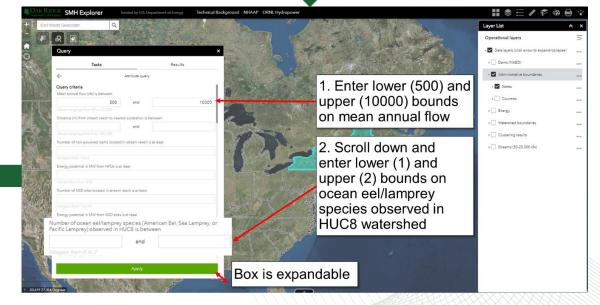
- A module developer might want to know how much demand there is for a particular module design.
- This information could be useful for pre-development decision-making and post-development marketing.
- For example, how many sites or how big of an area might benefit from a fish passage module that passes a particular species group?
- In this example, the search focuses on the Northeast, where fish passage structures are commonly located at existing hydropower facilities.
- It also is limited to ocean eel and lamprey, species of concern with specific passage requirements.

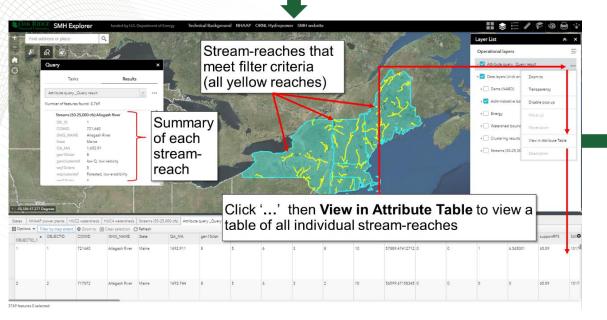


Use Case 1: Module developer determining application space

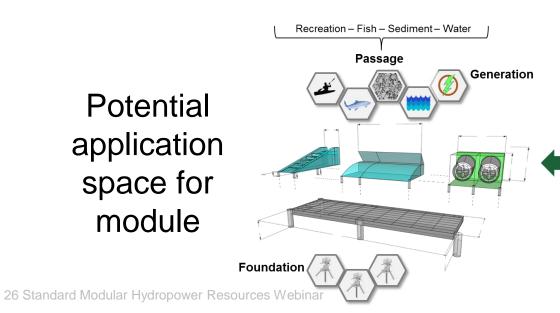




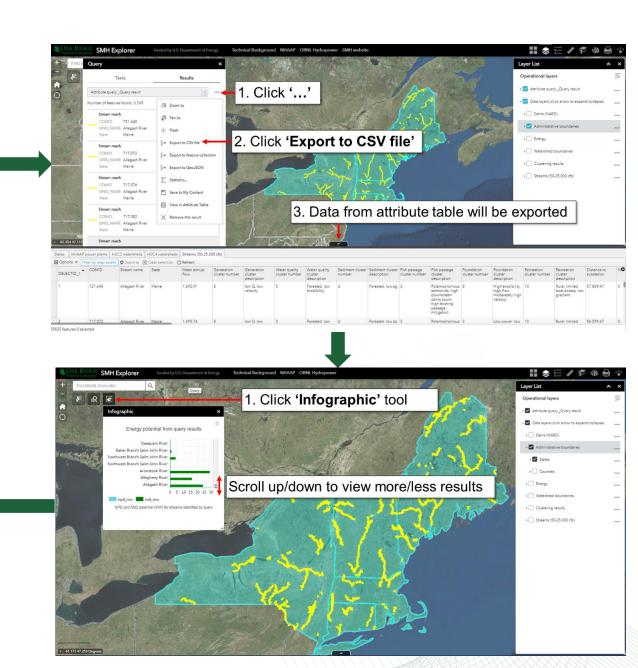




Potential application space for module



Use Case 1 continued

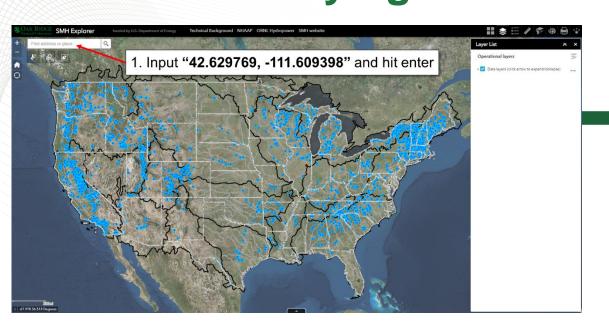


Use Case 2: Project developer assessing module need at site and identifying similar sites

- Suppose a project developer has a site they would like to consider for hydropower development and wants to know what modules may be needed, based on the environmental characteristics of the stream-reach.
- In this example, we pick an NSD site in the Pacific Northwest, one of the only regions in which NSD has been pursued in the past few decades and a location with significant NSD potential that was deployed within the Hydropower Vision capacity expansion model.



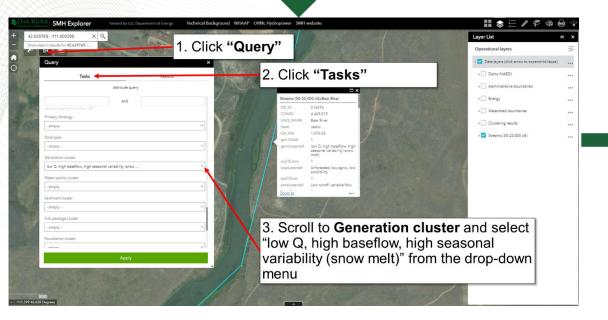
Use Case 2: Project developer assessing module need at site and identifying similar sites



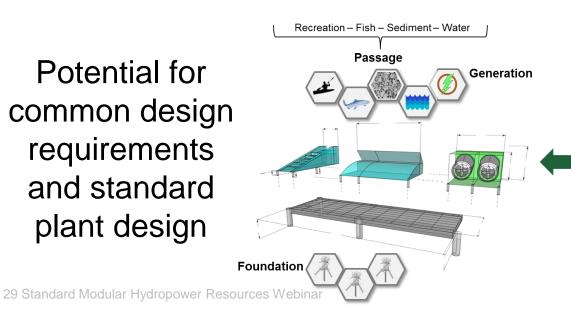


Module	Cluster number	Number of reaches	Defining characteristics	Locale
Generation	1	37,500	Low Q, high baseflow, high seasonal variability (snow melt)	Rockies, Sierras
Water quality	1	38,238	Unforested, low agricultural, low erodibility	Plains
Sediment	1	34,841	Low runoff, variable flow	Mountain West and Plains
Fish passage	7	94,507	Very low numbers of all major migratory species, low existing passage mitigation	Scattered nationally
Foundation	7	20,837	Moderately high power, high velocity, low erodibility, shallow bedrock	Foothill streams: Pacific NW, Rockies, Appalachians, Maine
Recreation	10	159,406	Rural, limited boat access, low gradient	Ohio and Mississippi River valleys, eastern Great Lakes, Great Plains

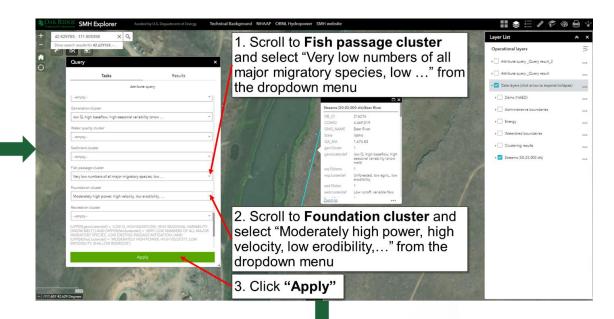


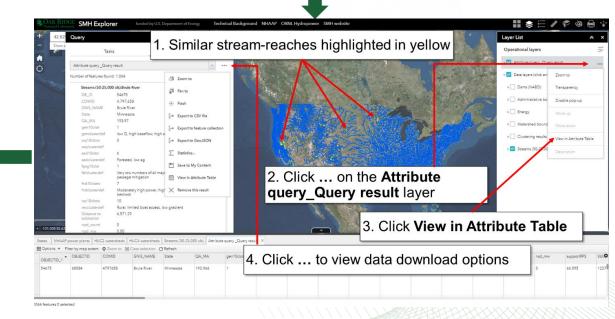


Potential for common design requirements and standard plant design

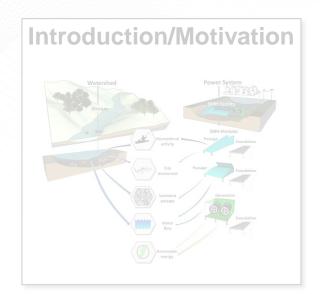


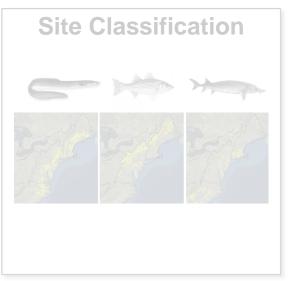
Use Case 2 continued



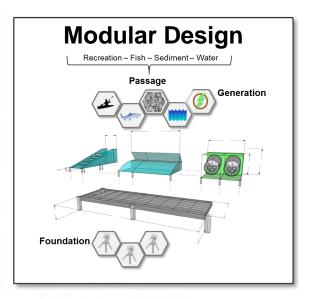


Webinar Agenda







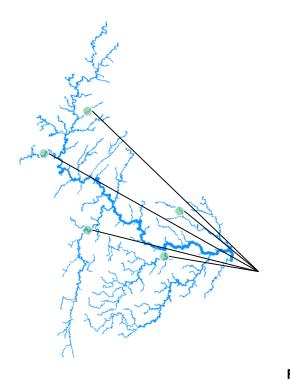


5 min 10 min 15 min Q&A

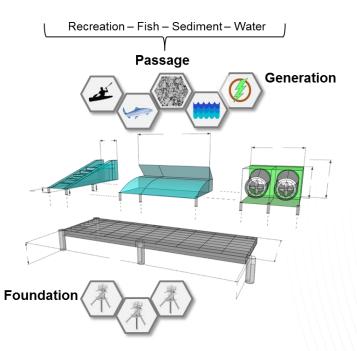
15 min



For a cluster of sites,



how do we establish a suitable and scalable design envelope for modules and modular facilities?





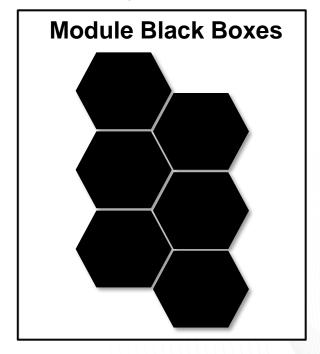
A framework for technology-neutral SMH conceptual design

EDES goal

Develop a hydropower facility comprised of modules that:

- can be independently ordered, configured, or delivered
- can be independently developed with compatible moduleto-module interfaces
- can be independently deployed across a set of distributed sites
- can be swapped in and out without compromising facility performance
- can be transported individually to a site and combined to construct a whole hydropower facility

Facility Black Box





A framework for technology-neutral SMH conceptual design

New design continuum

Research and definition

Design and development

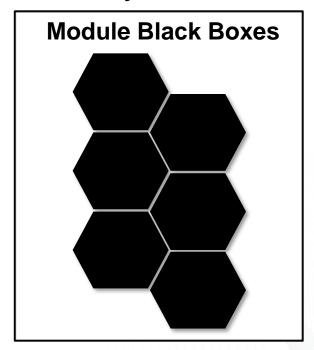
Manufacturing and testing

Evaluation

ORNL work to date along new design continuum



Facility Black Box



For more details and full design envelope specification for each module see: https://hydropower.ornl.gov/smh/docs/ORNL-SMH-Exemplary-Design-Envelope-Specification.pdf



A framework for technology-neutral SMH conceptual design

Inputs

variables that govern stream and module behavior

Objectives

 primary function to be achieved as a result of deploying and operating a module

Requirements

 a behavior or function that must be performed by a module for successful operation

Constraints

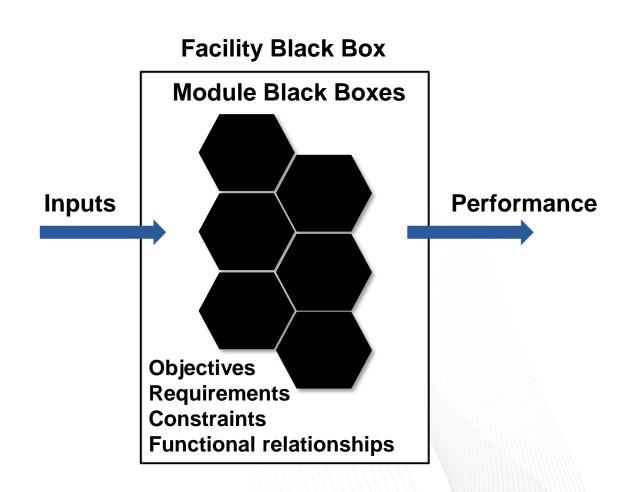
a limitation on the value of a design parameter or an operation

Performance

 a set of quantifiable indices or metrics that enable the evaluation of how well an objective is met

Functional relationships

 parametrized linkage of inputs to objectives and performance

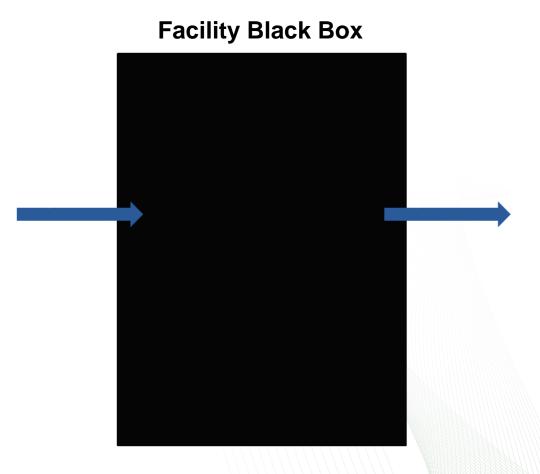


SMH facility objectives (i.e., system objectives)

A framework for technology-neutral SMH conceptual design

Start by defining objectives of SMH facilities:

- predictable and regular production of electricity
- cost competitive with other small renewables
- minimize alteration of the inflow hydrograph (i.e., run-of-river operation)
- minimal impoundment (i.e., low degree of regulation)
- minimize fluctuations of water surface elevation
- environmental technology integral to the facility design
- safe and timely passage of fish, sediment, and recreational craft
- non-degradation of water quality
- minimize disruption to the aesthetics of the natural stream and streamscape
- deliver additional environmental or natural resource cobenefits beyond generation (e.g. water quality enhancement, invasive species control, hydrologic restoration, recreation opportunities, etc.)





Module objectives (i.e., sub-system objectives)



allow the unimpeded and safe passage (upstream and downstream) of fish through a SMH facility



allow the passage of small recreational craft consistently and safely through a SMH facility



allow the transport of incoming sediment through a SMH facility



generate hydroelectric power from flowing water under pressure

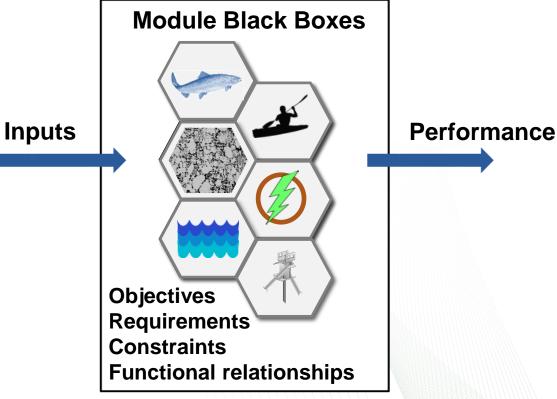


convey non-generating water over or through the SMH facility



anchor passage and generation modules to the streambed and banks

Facility Black Box





Fish passage module design envelope specification



allow the unimpeded and safe passage (upstream and downstream) of fish through a SMH facility

Inputs

- Fish species and physical/biological characteristics
- Flow variables
- Module geometric variables
- Geomorphologic variables

Requirements

- Attract fish to the module inlet
- Allow fish to cross the SMH facility
- Allow fish to exit safely into the river
- Integrate structurally into the foundation module

Constraints

- Module elements cannot create barriers or drops higher than the jumping ability of encountered fish species
- The module must create favorable flow conditions at its inlet for fish to enter
- Slope, velocity, depth, length, flow patterns, and turbulence must be acceptable to species being passed
- Module components cannot exceed in size the size of available transport vehicles or vessels



Fish passage module design envelope specification



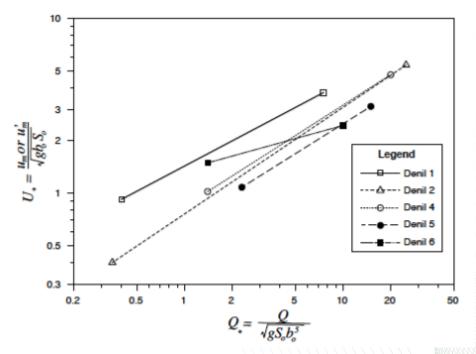
allow the unimpeded and safe passage (upstream and downstream) of fish through a SMH facility

Functional relationships (examples from EDES for conventional design)

Swimming velocity as function of fish species

Relative swimming speeds of young fish Coho (2 in) Coho (3.5 in) Coho (4.75 in) Sockeye (5 in) Brook trout (3-5 in) Grayling (2-4 in) American shad (1-3 in) Herring larvae (.4-8 in) Striped bass(.5 in) Striped bass (1 in) Striped bass (5 in) Mullet (.5-2.75 in) Glass eels (2 in) Elvers (4 in) Cruising speed Sustained speed Spot (.5-2.75 in) Pinfish (.5-2.75 in)

Passage flow velocity as function of design discharge and geometry



(Katopodis 1992)



(NRCS 2007)

Velocity (ft/s)

Fish passage module design envelope specification



allow the unimpeded and safe passage (upstream and downstream) of fish through a SMH facility

Using the EDES



Develop concept that meets objectives, requirements, and constraints

Identify functional relationships Use functional relationships to estimate performance

Commence preliminary design iteration

Fish passage module example

Species of interest and flow data

Modular fish ladder

Sizing and hydraulics technical quidance

Hydraulics, design flow, attraction flow, footprint, cost, etc.

OAK RIDGE
National Laboratory

Generation module design envelope specification



generate hydroelectric power from flowing water under pressure

Inputs

- River discharge (flow duration curve, mean annual flow, minimum environmental flow requirements)
- Range of heads (headwater and tailwater high and low elevations, net head, tailwater submergence)
- River geometry (wetted perimeter, width, bottom width)
- Electrical frequency of customer (AC frequency of the customer to which generation module must be synchronized)
- Desired power quality (total harmonic distortion, power factor
- Voltage (output voltage desired at the grid or customer connection)

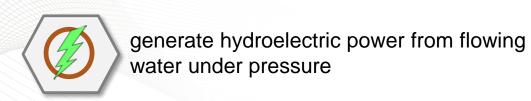
Requirements

- Take in flow (with provisions for shutoff and trash racks)
- Direct the flow to the hydraulic turbine chamber
- Convert hydraulic power into mechanical power into electrical power
- Prepare electrical power for distribution to the customer
- · Release flow
- Integrate structurally into the foundation module

Constraints

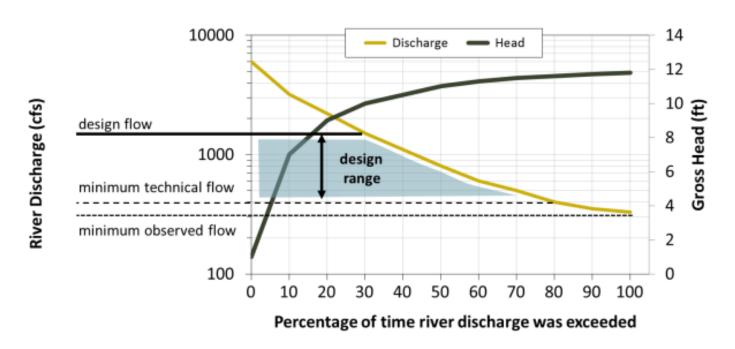
- Must be run-of-river and operate within natural variations of head and flow
- Must maintain safe operation of equipment and systems within the generation module during all operational scenarios (normal operations, flood, drought, special hydraulic operations, emergency shutdown, startup, and ramping up and down)
- Must accommodate heads of less than 30 ft and flows less than 4,000 cfs
- Must use biodegradable oil and lubricants or water-lubricated bearings
- Cannot kill or injure fish
- Must conform with all relevant standards and codes for hydropower generators

Generation module design envelope specification

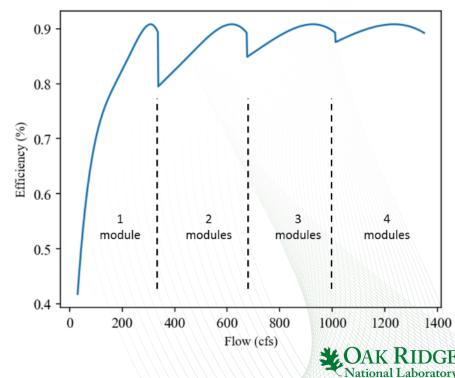


Functional relationships (examples from EDES for conventional design)

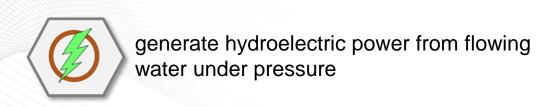
Generation module design flow as function of river discharge



Generation module efficiency as function of discharge



Generation module design envelope specification



Using the EDES

Generation

module

example

Identify set of site inputs

Flow and head/stage data

Develop concept that meets objectives, requirements, and constraints

Generation module with intake, trash rack, runner, generator, shutoff, and outlet Identify functional relationships

Efficiency curves, hill charts, specific speed, etc. Use functional relationships to estimate performance

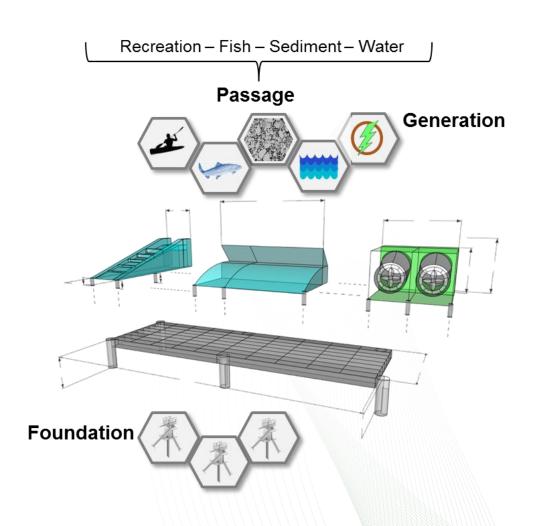
Installed capacity, power duration curve, footprint, cost, etc.

Commence preliminary design iteration



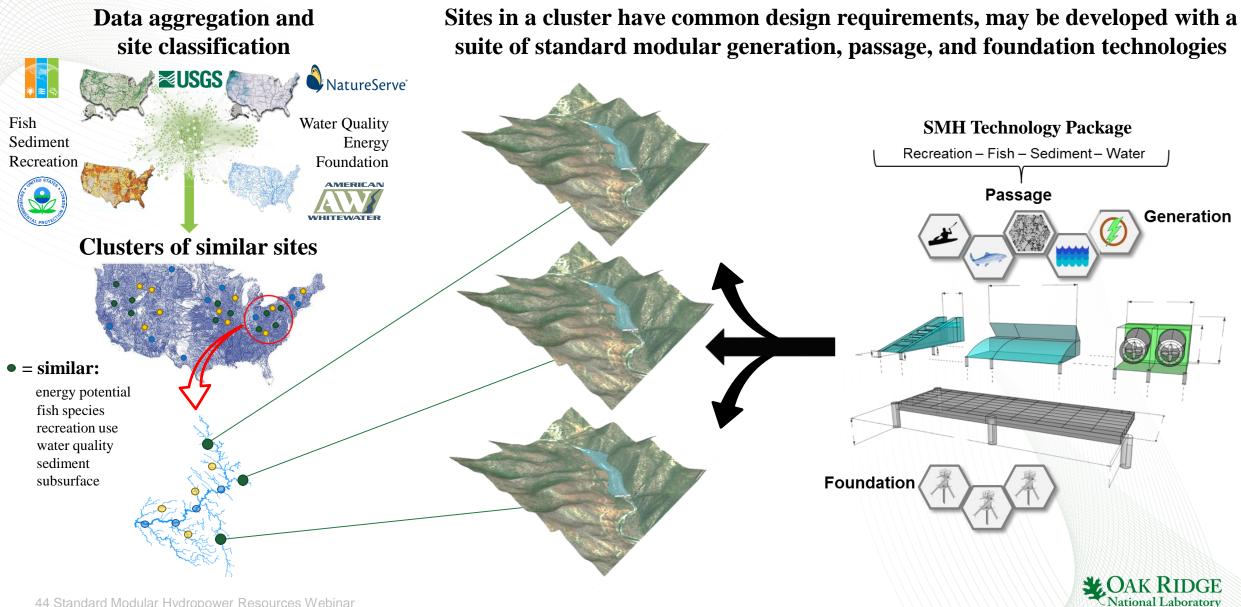
EDES Desired Innovation

- Developing modules that require few changes to major design features when deployed across different sites
- Modules with multi-functionality (e.g., combined fish and recreation passage)
- Fully submersible modules and plant design
- Require limited or no dewatering during construction and installation
- Foundation modules that minimize civil works
- Modules or major module elements delivered as a complete unit skid-mounted to the project location
- Passage modules integral to plant design
- Smart modules with integrated control and monitoring sensor packages

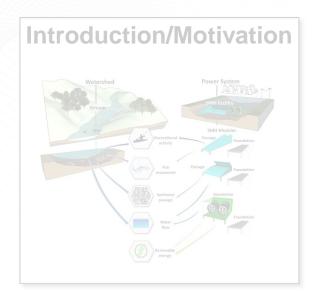


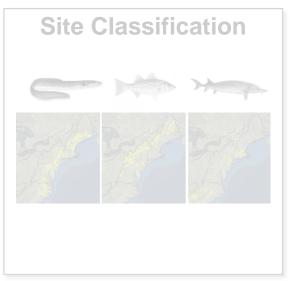


Standard Modular Hydropower Concept Recap

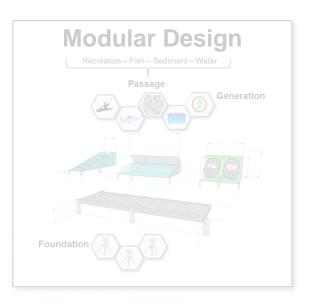


Webinar Agenda









5 min 10 min 15 min 15 min Q&A 15 min

